## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Lisa M. Coussens et al.

APPLICATION NO.:

10/567,873

FILING DATE:

February 8, 2006

TITLE:

Novel Indications for Transforming Growth Factor-Beta Regulators

**EXAMINER:** 

Not Yet Known

**GROUP ART UNIT:** 

Not Yet Known

ATTY. DKT. NO.:

23540-09361

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

$\boxtimes$	within three months of the filing date of the application, or date of entry
	into the national stage of an international application, or before the mailing
	date of a first office action on the merits, whichever event last occurred;
	before the mailing of a first official action after the filing of a request for
	continued examination (RCE) under 37 CFR § 1.114;

	after t	hree mo	onths of the filing date of this national application or the date						
	of ent	ry of the	e national stage in an international application, or after the						
	mailing date of the first official action on the merits, whichever event last								
	occuri	red, but	before the mailing date of the first to occur of either: (1) a						
	final a	ction u	nder 37 CFR §1.113; or (2) an action that otherwise closes						
	prosec	cution in	the application, and:						
		attacl	hed hereto is the fee set forth under 37 CFR §1.17(p) for						
		subm	hission of this Information Disclosure Statement under 37						
		CFR.	§ 1.97(c); OR						
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:						
			each item of information contained in this Information						
•			Disclosure Statement was first cited in a communication						
			from a foreign patent office in a counterpart foreign						
			application not more than three months prior to the filing of						
			this Statement; OR						
			no item of information contained in this Information						
			Disclosure Statement was cited in a communication from a						
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			and, to the knowledge of the person signing this						
			certification after making reasonable inquiry, no item of						
			information contained in this Statement was known to any						
			individual designated under 37 CFR § 1.56(c) more than						
			three months prior to the filing of this Statement;						
	on or	before	the payment of the issue fee but after the mailing date of the						
	first	to occur	of either: (1) a final action under 37 CFR § 1.113; (2) a						
	notic	e of allo	owance under 37 CFR § 1.311; or (3) an action that otherwise						
	closes prosecution in the application, and:								
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:						
			each item of information contained in this Information						
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			no item of information contained in this Information						
			Disclosure Statement was cited in a communication from a						
			foreign patent office in a counterpart foreign application						
			and, to the knowledge of the person signing this						

**PATENT** 

			certification after making reasonable inquiry, no item of
			information contained in this Statement was known to any
			individual designated under 37 CFR § 1.56(c) more than
			three months prior to the filing of this Statement; AND
		П	attached hereto is the fee set forth under 37 CFR §1.17(p) for
			submission of this Information Disclosure Statement under 37
			CFR.§ 1.97(d); OR
	П	ofter th	ne payment of the issue fee. Applicant requests that the information
•			ned in this Information Disclosure Statement be placed in the file
			ing to 37 CFR § 1.97(i), although the information may not be
_			ered by the USPTO.
			copy of each listed reference that may be material to the
			f this application, and for which there may be a duty to disclose.
	This ap	pplication	on relies, under 35 U.S.C. § 120, on the earlier filing date of prior
	applica	ation No	o, filed on, and the references cited therein are
	hereby	referen	ced, but are not required to be provided in this application under 37
	CFR §	1.98(d)	).
$\boxtimes$	Copies	of any	foreign patent documents and non-patent literature cited herein are
	enclos	ed.	•
	Each it	tem of i	nformation contained in this Information Disclosure Statement was
	cited in	n a com	munication from a foreign patent office in a counterpart application,
	and the	e comm	unication was not received by any individual designated in 37 CFR
	§ 1.56	(c) more	e than thirty days prior to the filing of this Information Disclosure
	Statem	nent. 37	CFR § 1.704(d).
$\boxtimes$	Applic	ant sub	mits that no fee is required for the consideration of this Information
			-
Consid	leration	of the	isted references and favorable action are solicited.
			Respectfully submitted,
			LISA M. COUSSENS ET AL.
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V	,		Fenwick & West LLP
			Silicon Valley Center
			801 California Street
			Mountain View, CA 94041
			Tel.: (650) 335-7818  Fax: (650) 938-5200
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Attorney/Reg. No.:	Narinder S. Banait, F	Reg. No. 43,	482				Dated:	Aug	7/2006
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	Substitute for form 1449A/PTO		Complete if Known				
Í ,,	NFORMATION DISCLOSUR	Application No.	10/567,873				
			February 8, 2006				
	STATEMENT BY APPEICAN	First Named Inventor	Lisa M. Coussesns				
	Allo	Art Unit	Not Yet Known				
	(_ AUG 1 8 2006 <sup>G</sup> )	Examiner Name	Not Yet Known				
Shee		Attorney Docket Number	23540-09361				
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U.S. PATENT DOCUMENTS									
		Document No.							
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document					
	A1	US-6,436,909	08-20-2002	Dean et al.					

FOREIGN PATENT DOCUMENTS								
		Foreign Patent Document						
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>			

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS							
Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published							
	C1	HAN, D.C. et al., "Therapy with Antisense TGF-ß1 Oligodeoxynucleotides Reduces Kidney Weight and Matrix mRNAs in Diabetic Mice," Am J Physiol Renal Physiol, 2000, pp. F628-F634, Vol. 278.					
	C2	International Search Report and Written Opinion, PCT/US2004/025902, March 27, 2006, 11 pages.					
	C3	LEE, Y.C. et al., "Transforming Growth Factor ß Induces Vascular Endothelial Growth Factor Elaboration from Pleural Mesothelial Cells in Vivo and in Vitro," Am J Respir Crit Care Med, 2002, pp. 88-94, Vol. 165.					

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.